PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: C08G 69/48, 69/18, 69/20, 63/91

(11) International Publication Number:

WO 98/47940

(4.

(43) International Publication Date:

29 October 1998 (29.10.98)

(21) International Application Number:

PCT/NL98/00217

(22) International Filing Date:

20 April 1998 (20.04.98)

(30) Priority Data:

1005866

22 April 1997 (22.04.97)

NL

(71) Applicant (for all designated States except US): DSM N.V. [NL/NL]; Het Overloon 1, NL-6411 TE Heerlen (NL).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): LOONTJENS, Jacobus, Antonius [NL/NL]; Synagogeplantsoen 76, NL-6231 KK Meerssen (NL). PLUM, Bartholomeus, Johannes, Margretha [NL/NL]; Blockhuysstraat 11, NL-6235 AW Meerssen (NL).
- (74) Agent: ALFENAAR, Marinus; Octrooibureau DSM, P.O. Box 9, NL-6160 MA Geleen (NL).

(81) Designated States: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, HU, ID, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, US, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, C1, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: HIGH-MOLECULAR POLYAMIDE

(57) Abstract

The invention relates to a process for preparing a high-molecular polyamide or polyester by melt-mixing polyamide or polyester having a lower molecular weight with a carbonyl bislactam having formula (1), in which n = an integer of between 3 and 15. With the process according to the invention a permanent increase in the molecular weight of a polyamide is obtained within 2 minutes, whereas this takes at least 10 minutes under comparable conditions using a bislactam according to the state of the art.

$$\begin{array}{c|c}
 & \circ & \circ \\
 & \parallel & \parallel \\
 & C & \circ & C \\
 & \parallel & & \\
 & N-C-N \\
 & (CH_2)_{R}
\end{array}$$
(1)

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΛU	Australia	GA	Gabon	I.V	Latvia	SZ	Swaziland
AZ	4zerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Beiarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	IT	Italy	MX	Mexico	UZ.	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwc
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 98/47940 PCT/NL98/00217

- 1 -

5 HIGH-MOLECULAR POLYAMIDE

The invention relates to a process for preparing a high-molecular polyamide, polyester or polyester-amide block copolymer by melt-mixing polyamide or a polyester or a mixture of a polyamide and a polyester having a lower molecular weight with a bislactam.

Such a process is for example known from EP-A-0288253, in which, as in other publications, use is made of bis-N-acyl lactams having the formula:

20

10

in which A = alkyl or an aromatic group and n is generally between 3 and 11.

The bis-N-acyl lactams used in the examples are generally tere- or isophthaloyl bislaurocaprolactam or biscaprolactam.

These bis-N-acyl lactams however have the drawback of a relatively low reaction rate, as a result of which long reaction times are required to realize the desired increase in molecular weight, which may lead to undesired side-reactions, e.g. discolouration of the polyamide or polyester.

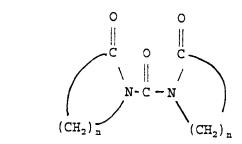
25

In practice, bisoxazolines or bisoxazines are therefore more preferably used as chain extenders for polyamides. The drawback of these is however that they react with the polyamide's carboxylic end groups, as a result of which the polyamide obtained contains excess amino end groups and the polyester excess hydroxyl endgroups, which adversely affects the thermal oxidative stability.

The invention's aim is hence a process in
which a bifunctional chain extender that does not
involve the above drawbacks is added to a polyamide or
a polyester melt.

The inventors have now most surprisingly found that when the polyamide or the polyester having the lower molecular weight reacts in the melt with a carbonyl bislactam, a colourless, stable polyamide or polyester with increased molecular weight is very quickly obtained.

'Carbonyl bislactam' is understood to be a compound having the formula:



in which n is an integer of between 3 and 15. Preferably n = 5 to 12.

The carbonyl bislactam can be obtained in a simple manner through reaction of the lactam with

example described in JP-A-42017832.

15

phosgene, $COCl_2$. The preparation of N,N'-carbonyl biscaprolactam via this route in benzene in the presence of a tertiary alkylamine as a catalyst is for

PCT/NL98/00217

The amount of carbonyl bislactam used in the process according to the invention may vary within a wide range. Usually at least about 0.1 wt.%, relative to the polyamide or the polyester, will be required to obtain an appreciable effect. Amounts of more than 4 wt.% do not usually lead to a further increase in the molecular weight.

Usually a person skilled in the art will adjust the amount of carbonyl bislactam to be used to the number of amino or hydroxyl end groups available and the increase in viscosity to be realized as a result of the increased molecular weight. He will usually determine the optimum amount for his situation through simple experimentation.

In principle, the process of the invention

can be used for all types of polyamides and polyesters.

The polyamides include at least the aliphatic

polyamides, for example polyamide-4, polyamide-6,

polyamide-8 etc., polyamide-4,6, polyamide-6,6,

polyamide-6,10, etc., polyamides derived from an

aliphatic diamine and an aromatic dicarboxylic acid,

for example polyamide-4,T, polyamide-6,T, polyamide4,I, etc., in which T stands for terephthalate and I

for isophthalate, copolyamides of linear polyamides and

copolyamides of an aliphatic and a partially aromatic

polyamide, for example 6/6,T, 6/6,6/6,T, etc. The

process is particularly advantageous in the case of

partially aromatic polyamides and copolyamides that require in general a relatively long polymerisation time.

The polyesters include at least polyesters

derived from aliphatic dicarboxylic acids and diols,
polyesters from aliphatic diols and aromatic
dicarboxylic acids, copolyesters that are partially
aliphatic and partially aromatic and polyesters that
contain units derived from cycloaliphatic dicarboxylic
acids. Specific examples are polybutyleen adipaat,
polyethyleen terephtalate, polyethyleen naphtalate,
polybutyleenterephtalate, copolyesters of
polybutyleenadipate and polybutyleenterephtalate, the
polyester derived from butanediol and cyclohexane
dicarboxylic acid.

The process according to the invention can be carried out in a simple manner using the usual meltmixing techniques and equipment, for example by dry blending the polyamide or polyester having a lower molecular weight and the bislactam and optionally also other additives in a solid state, for example in a tumbler drier, after which the mixture obtained is melted in a usual melt-mixing apparatus, for example a Haake kneader, a Brabender mixer or a single- or double-screw extruder. The different components can also be fed to the mixing apparatus separately.

Best results are obtained if the lower molecular polyamide or polyester are thoroughly dried.

Preferably the carbonyl bislactam is added 30 to the melted polyamide or polyester product stream in the polymerisation process as it leaves the polymerisation reactor. The polymerisation process can be carried out both batchwise or in a continuous mode. In the first case a reduction of the residence time in the reactor can be realized and thus an increase in productivity; with the continuous process the aftercondensation step, that is necessary usually to obtain a polyamide or polyester of sufficient molecular weight can be avoided.

The invention will now be elucidated with reference to the following examples, without however being limited thereto.

Materials used :

- a.1. polyamide-6 having a η_{rel} = 2.56 measured in formic acid and a concentration of end groups COOH = 0.052 meq/g -NH₂ = 0.052 meq/g.
 - a.2. polyethylene terephtalate, PET, having a η_{rel} = 1,44, measured in m-cresol
- b.1. carbonyl biscaprolactam (CBC); from Isochem,prance.
 - b.2. N,N'-isophthaloyl biscaprolactam (IBC): from DSM RIM NYLON, the Netherlands.
 - c. 1,3 phenylene bisoxazoline (1.3 PBO): from Takeda Chemicals, Japan.

Process:

25

The polyamide was melted in a Brabender mixer, type Plasticorder 651, at 240° C until a constant torque of the kneaders was obtained, after which the bislactam was added and the mixing was continued. The kneaders'

torque was measured at different times. The mixing was carried out at a rotational speed of the kneaders of 30 rotations per minute, under a nitrogen blanket to prevent the risk of the polyamide decomposing as a result of oxidation.

Table 1 shows the compositions investigated and the results of the measurements.

The results of Experiments 2 vs. 4 show the surprisingly high activity of the carbonyl lactam, as a result of which a stable high value of the melt viscosity (expressed as the Brabender mixer's moment of couple) was obtained after 2 minutes already, whereas this is not the case when use is made of the bislactam according to the state of the art.

- Thanks to this short reaction time, which is of the same order as the residence time in a normal extrusion, a stable, increased melt viscosity can be realized in practice, and the molecular weight of polyamide can be increased, using only a bislactam.
- The relative viscosity, measured in a solution of 1 gram in 100 ml of 90 wt.% formic acid, shows the same development as the measured moments of couple after 10 minutes.

	Γ	Т	T	\neg		_									
	9	,	00		0.940	0.570			8.4	10.5	11.5	13.0	3.5	4	
	5	1	100			0.570		0	o •	7.5	8.5	10.5	2.9		
	4	1	100		0.940			7.0		7.2	8.5	8.4	2.7		
Table 1	3		100	0.666		0.570		10.5	13 7	13.5	16.5	19.5	3.9		
	2		100	0.666				9.5	9 5		y . 5	9.5	2.9		
ı	1		100					0.9	6.0	0 9	0.	0.9	2.6		
Experiment	15	a.1.	b.1. *} CBC	b.2. *) IBC	C. *) PBO	Kneader couple-moment (Nrm)	2 min.	4 min		6 min.	10 min.	relative viscosit		in formic acid	

*) the quantity (b) resp. (c) was chosen equivalent to the number of amino resp. carboxyl endgroups available in the polyamide.

The process of the foregoing experiments was repeated however with polyethylene terephtalate having a solution viscosity of 1.44 in m-cresol. The temperature was set at 280 °C. Compositions and results are given in Table 2.

;

•

Table 2

Experiment	7	0					
Composition		0	σ.	10	11	12	Г
ייי לא מייים							
(Farts by Weight)							
a.2.	100	00					
b.1. CBC ++)		7 0 0	100	100	100	100	
				96.0		70 0	-,
			1.35				
C. PBO **)					1.35		
Kneader couplemoment (Nm)		17.0			0.27	0.27	
2 min							
	0.5	1.0	7	1			
4 min.	L)	7.5	2.0	1.5	_
6 min	ر. ئ	1.0	2.0	2.0	2.5	0 0	
	0.5	1.0	2 5	,		· •	
10 min.	u		?	0.	3.5	2.5	
re ative with		0.7	2.5	2.5	4.5	2 5	
retactive viscosity	1.44	1.47	1 60)	
(10 min.)		**** .	70.7	1.62	1.68	1.64	
in m-cresol 1 wt.%, 135°C							

- **) The quantity (b) resp. (c) was chosen equivalent to the number of hydroxyl resp. carboxyl end groups.
- 5 Analysis of the endgroups after 10 minutes meltmixing reveals for the compositions the following data:

Table 3

Experiment	COOH-	OH-
	[meq/gram]	[meq/gram]
7	0.041	0.083
8	0.026	0.085
9	0.046	0.031
10	0.029	0.047
11	0.027	0.028
12	0.018	0.045

10

Apparently the carboxy biscaprolactam

(CBC) is also reactive with the carboxyl endgroups. IBC reacts with the hydroxyl end groups only, and seems to be more effective. However also IBC shows to be effective for 50% only. For this reason the added quantities IBC and CBC were increased in a further experiment by 50%. Very surprisingly in this case CBC showed much more effectivity than IBC.

Results are given in Table 4.

Table 4

5

Experiment	-СООН	η_{rel}	remarks
	meq/gram		
7	0.041	1.44	
9 *)	0.045	1.63	
13	0.045	1.69	2.03 pbw IBC
10 *)	0.028	1.65	_
14	0.021	1.77	1.44 pbw CBC

*) duplo experiments

Therefore CBC is used in polyesters

10 preferably in excess of the equivalent quantity
calculated on the basis of hydroxyl endgroups available
in the lower molecular polyester of which the molecular
weight should be increased.

The molecular weight of the polyamide or polyester having the lower molecular weight may vary over a wide range and is mainly determined by economical reasons and the source of the material. In general it may vary from about 1000 to about 20.000 expressed as number averaged molecular weight Mn.

However situations are possible in which a mixture containing a polyamide or polyester of high molecular weight for instance 25.000 and an appreciable fraction oligomeric polyamide or polyester of molecular weight

less than 1000 is reacted in the melt with the CBC according to the present invention.

The molecular weight of the high molecular weight polyamide or polyester to be produced by the process of the invention can be freely chosen and generally is higher than 15.000, preferably higher than 20.000, even more preferably higher than 25.000.

The polyamide or polyester obtained by the process of the invention can be processed by injection moulding, extrusion or blow moulding to obtain moulded articles, and by melt spinning to obtain fibres.

5

CLAIMS

1. Process for preparing a high-molecular polyamide or polyester by melt-mixing a polyamide or polyester having a lower molecular weight with a bislactam, characterised in that the bislactam is a carbonyl bislactam having the formula:

in which n = an integer of between 3 and 15.

- 2. Process according to Claim 1, characterised in that n=5 to 12.
- 20 3. Process according to Claim 1 or Claim 2, characterised in that use is made of 0.1 to 4 wt.% of the bislactam, relative to the polyamide or the polyester.

FORMULA SHEET

5

10

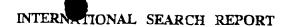
15

formula 1

INTERNATIONAL SEARCH REPORT

national Application No

PCT/NL 98/00217 A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C08G69/43 C08G C08G69/18 C08G69/20 C08G63/91 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 C08G Documentation searched other than minimum occumentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Α EP 0 288 253 A (POLYPLASTICS CO) 26 1-3 October 1988 cited in the application see claims 1-4,6-8A EP 0 117 433 A (GOODRICH CO B F) 5 1 - 3September 1984 see claims 1-10 Α PATENT ABSTRACTS OF JAPAN 1 - 3vol. 013, no. 494 (C-651), 8 November 1989 & JP 01 197526 A (TEIJIN LTD), 9 August 1989. cited in the application see abstract -/--X Further documents are listed in the continuation of box C. X Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date "A" document defining the general state of the art which is not considered to be of particular relevance or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed "3" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 4 June 1998 17/06/1998 Name and mailing address of the ISA Authorized officer European Patent Office, P.S. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni. Glanddier, A Fax: (+31-70) 340-3016



antional

national Application No PCT/NL 98/00217

		PCT/NL 98/00217
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category :	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 1 533 650 A (MONSANTO CO) 29 November 1978 see claims 1,2,4 see page 1, column 2, line 80 - line 88	1-3
,	WO 96 34909 A (DSM NV ;LOONTJENS JACOBUS ANTONIUS (NL); DERKS FRANCISCUS JOHANNES): 7 November 1996 see claims 1,2,6	1-3
	PATENT ABSTRACTS OF JAPAN vol. 013, no. 569 (C-666), 15 December 1989 å JP 01 236238 A (TEIJIN LTD), 21 September 1989, see abstract	1-3

INTERNATIONAL SEARCH REPORT

information on patent family members

national Application No.

		·		l PC	T/NL 98/00217
Patent documen	t ort	Publication date		Patent family member(s)	Publication
EP 0288253	A 	26-10-1988	JP JP	1861009 63265964	
EP 0117433	A 	05-09-1984	CA JP	1214012 A 59138226 A	18-11 1000
GB 1533650	A	29-11-1978	BE DE FR FR JP NL SE	849770 A 2657935 A 2352013 A 2361431 A 52078997 A 7614132 A 7614381 A	22-06-1077
WO 9634909	A 	07-11-1996	BE AU EP	1009365 A 5409196 A 0835276 A	04-02-1997 21-11-1996 15-04-1998